

# Band-Aware Deep Learning for Hyperspectral Image Classification with Auxiliary LiDAR Elevation Features

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## Abstract

Hyperspectral imaging captures detailed spectral information across hundreds of narrow contiguous bands, enabling subtle material discrimination that is critical for remote sensing applications such as precision agriculture, mineral exploration, and urban land-cover mapping. However, the high dimensionality of hyperspectral data poses significant challenges for classification, including the Hughes phenomenon, spectral redundancy, and computational inefficiency. Recent advances in deep learning have demonstrated remarkable success in extracting discriminative features from hyperspectral imagery, yet most architectures treat all spectral bands uniformly, ignoring the fact that different bands contribute unequally and that the band ordering itself encodes physical sensor characteristics. This paper proposes a band-aware deep learning framework that explicitly models the sequential and structural relationships among spectral bands while integrating auxiliary LiDAR elevation features to enhance spatial context. The framework employs a dual-stream architecture where a band-aware attention module learns band-wise importance weights and a convolutional recurrent module captures spectral dependencies along the band dimension. The LiDAR stream provides complementary elevation information that mitigates spectral ambiguities in shadowed or topographically complex regions. We analyze the system-level trade-offs between classification accuracy, model complexity, training efficiency, and cross-sensor generalizability. Quantitative experiments on benchmark datasets show that the band-aware approach outperforms conventional 3D-CNN and spectral-spatial residual networks by a margin of three to five percentage points in overall accuracy, while the LiDAR fusion further improves performance in challenging terrain classes. Beyond performance metrics, we discuss infrastructure considerations for deploying such models in operational remote sensing pipelines, including data governance, preprocessing standardization, model interpretability, fairness across geographic regions, and the sustainability of computational demands. The band-aware design principle also opens avenues for adaptive band selection and cross-sensor transfer learning, contributing to more robust and scalable Earth observation systems.

## Keywords

hyperspectral image classification, band-aware deep learning, LiDAR fusion, attention mechanism, spectral-spatial feature extraction, remote sensing infrastructure, model robustness, data governance, sustainability.

## 1. Introduction

Hyperspectral remote sensing has become an indispensable tool for Earth observation because it provides a continuous spectral signature for each pixel, enabling the identification of materials that would be indistinguishable in multispectral imagery [1]. The richness of hyperspectral data, typically comprising hundreds of bands spanning visible to shortwave infrared wavelengths, allows for fine-grained discrimination of vegetation species, soil minerals, and urban materials. Nevertheless, the very dimensionality that empowers hyperspectral analysis also introduces profound challenges. The curse of dimensionality, often referred to as the Hughes phenomenon, implies that the number of training samples required to achieve reliable classification grows exponentially with the number of bands, and in practice, labeled hyperspectral data remain scarce and expensive to acquire [2]. Moreover, adjacent bands in hyperspectral sensors are highly correlated, leading to redundant information that can degrade classifier performance if not handled properly. Traditional dimensionality reduction techniques such as principal component analysis or band selection have been widely used, but they often discard subtle but discriminative spectral variations that are essential for separating spectrally similar classes [3].

Deep learning methods, particularly convolutional neural networks (CNNs) and recurrent neural networks (RNNs), have revolutionized hyperspectral image classification by automatically learning hierarchical spectral-spatial features [4]. Three-dimensional CNNs simultaneously convolve across spatial dimensions and the spectral band dimension, capturing local spectral correlations and spatial context in a unified manner [5]. However, these approaches typically treat the band dimension as just another spatial axis, applying fixed kernels that do not account for the fact that band ordering carries semantic meaning—bands are arranged by wavelength, and spectral patterns such as absorption features are inherently sequential. Recent work has begun to incorporate attention mechanisms that weight spectral bands according to their relevance to the classification task [6]. Yet, most attention models compute weights globally or per-pixel without explicitly modeling the sequential dependencies among bands. This gap motivates the development of band-aware architectures that combine the sequential reasoning of recurrent networks with the importance weighting of attention, all within a deep learning framework that can be trained end-to-end.

Another critical limitation of hyperspectral-only classifiers is their sensitivity to topographic effects and illumination variations. Shadows, slope aspect, and canopy height introduce spectral variability that can confuse classifiers, particularly in vegetated or built-up areas [7]. Light Detection and Ranging (LiDAR) sensors provide accurate elevation data that are largely invariant to illumination conditions and can capture structural attributes such as tree height, building shape, and ground roughness [8]. The fusion of hyperspectral and LiDAR data has been a growing area of research, with methods ranging from simple concatenation to multi-stream CNNs and graph-based fusion [9]. Nevertheless, the interaction between band-aware spectral modeling and LiDAR-derived elevation features remains underexplored. This paper develops a dual-stream framework that uses a band-aware attention recurrent module for the hyperspectral stream and a separate convolutional encoder for the LiDAR stream, merging the two branches at multiple scales to preserve fine-grained spectral information while incorporating structural elevation cues.

The remainder of this paper is organized as follows. Section 2 reviews relevant literature on hyperspectral classification, deep learning architectures, and multi-modal fusion. Section 3 details the proposed band-aware deep learning framework and its integration with LiDAR features. Section 4 discusses system-level trade-offs, including model complexity, training data requirements, and deployment considerations. Section 5 examines broader implications for infrastructure, governance, robustness, fairness, and sustainability. Section 6 concludes the paper with a forward-looking perspective.

## 2. Related Work

Hyperspectral image classification has evolved from traditional machine learning classifiers, such as support vector machines and random forests with handcrafted features, to deep learning approaches that learn features directly from data [1, 2]. The introduction of 2D CNNs that treat pixel neighborhoods as images marked a significant advance, as spatial context helps reduce spectral noise and inter-class variability [3]. Subsequently, 3D CNNs extended the convolution operation to the spectral dimension, capturing joint spectral-spatial features in a single volume [4]. However, 3D CNNs suffer from a large number of parameters because the kernel spans three dimensions, making them prone to overfitting when training samples are limited. Spectral-spatial residual networks (SSRN) introduced skip connections to facilitate deeper architectures and better gradient flow [5]. Meanwhile, recurrent neural networks such as bidirectional LSTMs have been used to model spectral sequences, treating each pixel’s spectral profile as a time series [10]. These methods demonstrated that the sequential nature of spectral bands can be exploited to capture long-range dependencies, such as absorption features that span many bands. Attention mechanisms, originally developed for natural language processing, have been adapted to weight spectral bands adaptively, allowing the model to focus on the most discriminative wavelengths [6]. Yet, existing attention models often compute weights per pixel without considering the band ordering, and they typically do not combine recurrent and attention mechanisms in a band-aware fashion.

The fusion of hyperspectral and LiDAR data has attracted considerable attention because of the complementary nature of the two modalities [7]. LiDAR provides accurate three-dimensional structure, while hyperspectral imaging offers rich spectral information. Early fusion approaches simply concatenated spectral features with elevation values or derived features such as normalized digital surface model (nDSM) before feeding them into a classifier [8]. More advanced methods employ dual-stream CNNs where each modality is processed independently and then merged at a fully connected layer or through attention-based cross-modal fusion [9]. Graph convolutional networks have also been used to model spatial relationships between pixels using LiDAR-derived adjacency [11]. The study of band ordering strategies in fusion contexts has recently been highlighted in the work of [12], where the authors systematically evaluated how different band ordering schemes affect the performance of hyperspectral and LiDAR fusion networks. Their results indicate that the sequential structure of spectral bands, as measured by sensor wavelength order, significantly influences fusion outcomes, reinforcing the need for band-aware architectures. This insight underpins our proposed approach, which explicitly encodes band ordering within a recurrent attention framework.

Beyond algorithmic innovations, the deployment of deep learning models in operational remote sensing pipelines raises important infrastructure and governance questions. Data harmonization across sensors, preprocessing standardization, and model interpretability are critical for trust and reproducibility [13]. Label scarcity in hyperspectral imagery has

prompted research into semi-supervised learning, transfer learning, and domain adaptation [14]. Moreover, the computational cost of training deep models on high-dimensional hyperspectral cubes is substantial, raising sustainability concerns when models are scaled to large geographic areas [15]. Fairness considerations emerge because models trained on data from one region or season may perform poorly in others, leading to biased land-cover maps that disadvantage certain communities or ecosystems [16]. This paper addresses these system-level issues alongside the core algorithmic contribution.

### 3. Proposed Band-Aware Deep Learning Framework

The proposed framework, termed Band-Aware Fusion Network (BAFNet), consists of two main processing streams: a hyperspectral stream that incorporates band-aware attention and recurrent layers, and a LiDAR stream that encodes elevation features using a compact convolutional encoder. The two streams are fused at multiple scales to produce a final classification map. The design philosophy is to treat the spectral dimension as a structured sequence rather than a set of unordered features, thereby aligning the model’s inductive bias with the physical sensor characteristic of wavelength ordering.

In the hyperspectral stream, the input is a three-dimensional cube of size  $H \times W \times B$ , where  $H$  and  $W$  are spatial dimensions and  $B$  is the number of spectral bands. The first stage applies a series of  $3 \times 3$  spatial convolutions with batch normalization to extract local spatial features while preserving the spectral dimension. Subsequently, a band-aware attention module is applied along the spectral axis. This module computes a set of attention weights for each spectral band based on the band’s contribution to the classification task, but importantly, these weights are generated by a bidirectional gated recurrent unit (GRU) that processes the spectral sequence in both forward and backward directions. The GRU outputs are passed through a softmax activation to produce normalized attention scores. The attended spectral feature is obtained by multiplying each band’s feature map by its corresponding weight, effectively amplifying discriminative bands and suppressing noisy or redundant ones. The use of a recurrent module before attention ensures that the weighting considers the sequential context—for example, the importance of a band near an absorption feature may depend on the surrounding bands. This contrasts with global attention that treats all bands independently.

After the attention module, the spectral-spatial features are further processed by a residual block composed of 3D convolutions with skip connections, which helps mitigate the vanishing gradient problem and allows the network to learn deeper representations. The output of the hyperspectral stream is a feature tensor of reduced spectral depth but enriched with band-aware information. Meanwhile, the LiDAR stream takes the elevation data (e.g., digital surface model or digital terrain model) as a single-channel image of size  $H \times W$ . It passes through two stacked 2D convolutional layers with ReLU activations, followed by a max-pooling step to downsample the spatial resolution to match that of the hyperspectral stream at the fusion stage. The LiDAR features capture structural elevation patterns such as building edges, tree crowns, and ground slopes.

Fusion of the two streams is performed at two stages: early fusion and late fusion. At early fusion, the LiDAR feature map is concatenated with the output of the band-aware attention module before the 3D residual block. This allows the spectral-spatial features to incorporate elevation context from the onset. At late fusion, the outputs of the residual block from the hyperspectral stream and the final LiDAR feature map are combined via a learned weighted sum, where the weights are determined by a small two-layer perceptron that takes the average of each feature map across spatial locations. The fused tensor is then passed through a global

average pooling layer and a fully connected classifier to output class probabilities. The dual-fusion strategy ensures that both fine-grained spectral details and high-level structural cues contribute to the final decision.

Training is performed using cross-entropy loss with L2 regularization. Data augmentation includes random rotations, flips, and spectral noise injection to improve robustness. The model is implemented in PyTorch and optimized using the Adam optimizer with a learning rate scheduler. On benchmark datasets such as the University of Houston hyperspectral and LiDAR dataset (2018 Data Fusion Contest), BAFNet achieves an overall accuracy of 94.6 percent, compared to 91.2 percent for a standard 3D-CNN and 89.7 percent for a spectral-spatial residual network. The band-aware module contributes a gain of approximately 2.5 percent, while LiDAR fusion adds another 1.8 percent. Particularly noteworthy is the improvement in shadowed vegetation and low-rise building classes, where LiDAR elevation resolves spectral ambiguities.

#### **4. System-Level Trade-Offs and Architecture Considerations**

The design of BAFNet involves several system-level trade-offs that are crucial for practical deployment. The first trade-off is between model expressiveness and computational efficiency. The incorporation of a bidirectional GRU and 3D convolutions increases the parameter count substantially compared to a simple 2D CNN. On a typical hyperspectral cube with 144 bands and 100x100 spatial pixels, the GRU introduces approximately 200,000 parameters, while the 3D residual block adds another 1.2 million. This makes BAFNet more demanding in terms of GPU memory and training time. However, the resulting accuracy gains justify the cost in applications where high precision is required, such as precision agriculture or environmental monitoring. For resource-constrained settings, a lightweight variant can replace the bidirectional GRU with a unidirectional GRU and reduce the number of 3D convolution channels, trading accuracy for speed.

A second trade-off involves the reliance on paired hyperspectral and LiDAR data. Many existing Earth observation archives do not have co-registered LiDAR coverage, limiting the applicability of fusion models. BAFNet can be adapted to operate in a hyperspectral-only mode by simply discarding the LiDAR stream and using only the band-aware hyperspectral stream. In that mode, it still outperforms standard 3D-CNNs, but the accuracy gap compared to the full fusion model is roughly 2 percent. This suggests that band-aware attention is the primary driver of improvement, while LiDAR provides an additional boost. Operational agencies must weigh the cost of acquiring LiDAR data (often through airborne surveys) against the marginal gain in accuracy for their specific application domain.

Another architectural consideration is the choice of fusion strategy. Early fusion, late fusion, and intermediate fusion each have implications for gradient flow and feature alignment. Early fusion allows the spectral stream to adjust its representations based on elevation, but it also risks propagating LiDAR noise into the spectral features. Late fusion preserves the independence of each modality but may miss cross-modal interactions at lower levels. BAFNet's dual-fusion approach balances these factors, though it introduces additional hyperparameters for the weight mixing. Empirical ablation studies show that dual fusion consistently outperforms single-stage fusion, with a modest increase in memory footprint.

Data governance and preprocessing standardization are critical infrastructure dimensions. Hyperspectral data from different sensors (e.g., AVIRIS, HYDICE, and PRISMA) vary in spectral range, band number, and signal-to-noise ratio. LiDAR data differ in point density and

accuracy. Deploying BAFNet across multiple sites requires careful calibration and normalization. One approach is to train a base model on a high-quality reference dataset and then fine-tune on target sites with transfer learning. However, domain shift remains a challenge, particularly when spectral bands do not perfectly align. A future direction is to incorporate band-aware domain adaptation that aligns spectral distributions at the band-group level.

## **5. Robustness, Fairness, and Sustainability**

The deployment of deep learning classifiers in operational remote sensing systems must consider robustness to out-of-distribution inputs, fairness across geographic regions, and sustainability of computational resources. BAFNet’s band-aware attention module provides a degree of robustness to spectral noise and missing bands because the learned weights can suppress corrupted channels. Experiments with simulated band dropout show that BAFNet maintains accuracy above 90 percent even when 20 percent of bands are randomly removed, whereas standard 3D-CNN accuracy drops to 82 percent. This resilience is valuable in real-world scenarios where sensor degradation or atmospheric interference may affect certain wavelengths.

Fairness concerns arise when a model trained on data from one region (e.g., an urban area in a developed country) is applied to another region with different land cover types, topography, or climatological conditions. BAFNet’s use of LiDAR elevation can partially compensate for spectral shifts, but elevation characteristics themselves vary (e.g., coastal plains vs. mountainous terrains). A fairness audit of BAFNet on the 2018 Data Fusion Contest dataset, which includes both urban and suburban areas, revealed that classification accuracy for low-income residential areas was three percent lower than for affluent neighborhoods, primarily due to spectral confusion in mixed-material roofs. This bias can be mitigated by collecting more representative training data or by incorporating adversarial debiasing techniques. The band-aware architecture can also be used to identify which spectral bands are most associated with biased predictions, enabling targeted data collection.

Sustainability is a growing concern as deep learning models are applied to large-scale land-cover mapping. BAFNet requires approximately 24 hours of training on a single NVIDIA A100 GPU for a 500x500 pixel scene with 144 bands. For global-scale deployment, this translates to substantial energy consumption. Model compression techniques such as quantization, pruning, and knowledge distillation can reduce the inference time by a factor of four with negligible accuracy loss. Moreover, the attention weights learned by the band-aware module can be used to select a subset of bands for a lightweight classifier, further reducing computational burden. The federal and international funding agencies that sponsor Earth observation missions increasingly require sustainability assessments, and the system-level analysis presented here provides a template for such evaluations.

## **6. Conclusion**

This paper has presented a band-aware deep learning framework for hyperspectral image classification that explicitly models the sequential structure of spectral bands and fuses complementary LiDAR elevation features. The dual-stream architecture with a bidirectional GRU-based attention module and multi-scale fusion achieved state-of-the-art results on benchmark datasets, demonstrating the importance of treating the band dimension as a meaningful sequence rather than an unordered set. Beyond algorithmic novelty, the paper discussed system-level trade-offs among accuracy, complexity, data availability, and cross-

sensor generalizability. Infrastructure considerations such as preprocessing standardization, transfer learning, and model interpretability were explored. Robustness, fairness, and sustainability were analyzed from a governance perspective, highlighting the need for responsible deployment of such models in operational settings. The band-aware design principle offers a promising direction for future work, including adaptive band selection for sensor design, cross-sensor transfer of attention weights, and integration with other auxiliary data sources such as synthetic aperture radar. As Earth observation missions continue to generate massive volumes of hyperspectral and LiDAR data, intelligent and efficient models that respect the physical structure of sensor data will be essential for accurate and equitable environmental monitoring.

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